

TITLE 2. ADMINISTRATION**CHAPTER 9. GOVERNING COMMITTEE FOR TAX DEFERRED ANNUITY AND DEFERRED COMPENSATION PLANS**

(Authority: A.R.S. § 38-871 et seq.)

ARTICLE 1. GENERAL PROVISIONS*Former rules renumbered (Supp. 82-1).*

Section

R2-9-101. Expenses

R2-9-102. Employee and employee-participant contact

ARTICLE 1. GENERAL PROVISIONS**R2-9-101. Expenses**

- A.** Companies submitting offers under these rules are reminded the Committee has no funds to pay any costs or expenses they might normally expect to receive from or charge to an offeree. Therefore, all offers submitted to this Committee must contain an acknowledgement of this fact and a disclaimer of liability to this Committee or its members for any expenses or costs which the offeror incurs in connection with an offer or a contract.
- B.** No expenses or costs shall be recoverable by an offeror from the state of Arizona, this Committee or its members but it shall not be improper for an offeror to include such anticipated expenses or costs as a part of its offer and which will ultimately be borne by the employee-participants in the Plan.

Historical Note

Former Rule 1; Former Section R2-9-01 repealed, new Section R2-9-01 adopted effective May 7, 1980 (Supp. 80-3). Former Section R2-9-01 renumbered as Section R2-9-101 (Supp. 82-1).

R2-9-102. Employee and employee-participant contact

- A.** No employee or employee-participant will be contacted except as permitted by the Committee.
- B.** Contact with an employee or employee-participant by a company employee in violation of these rules is cause for barring the offending person from any further participation in such contract work. If it is determined by the Committee that the company employee was acting pursuant to company instructions, a breach of contract may be declared and its contract with the Committee terminated.
- C.** Companies under contract with the Committee will be allowed to make such solicitations as are consistent with these rules and the Plan, through prior presentation of their method of so doing to the Plan administrator and approval by the Committee.
1. The Committee shall arrange for the presentation of such solicitations by means of general publication or distribution with paychecks.
 2. This rule shall not apply to routine accounting or reporting required or provided by contract or these rules.
 3. Group meetings may be conducted for all employees at least once a year. Those interested employees will be provided a counseling and enrollment session in order to explain the merits of the State of Arizona Deferred Compensation Plan.

Historical Note

Former Rule 2; Former Section R2-9-02 repealed, new Section R2-9-02 adopted effective May 7, 1980 (Supp. 80-3). Former Section R2-9-02 renumbered as Section R2-9-102 (Supp. 82-1).